

# WO9915167

## Publication Title:

Compositions and methods of treatment of neoplastic diseases and hypercholesterolemia with citrus limonoids and flavonoids and tocotrienols

## Abstract:

Compositions and methods for the prevention and treatment of neoplastic diseases and hypercholesterolemia are described. Individuals at a high risk of developing or having neoplasia or hypercholesterolemia undergoing conventional therapies may be treated with an effective dose of triterpene derivatives in citrus limonoids, polyphenolic flavonoid citrus compounds, tocotrienols or a combination of these agents.

-----  
Data supplied from the esp@cenet database - <http://ep.espacenet.com>

**BEST AVAILABLE COPY**

# WO9915167

## Publication Title:

Compositions and methods of treatment of neoplastic diseases and hypercholesterolemia with citrus limonoids and flavonoids and tocotrienols

## Abstract:

Compositions and methods for the prevention and treatment of neoplastic diseases and hypercholesterolemia are described. Individuals at a high risk of developing or having neoplasia or hypercholesterolemia undergoing conventional therapies may be treated with an effective dose of triterpene derivatives in citrus limonoids, polyphenolic flavonoid citrus compounds, tocotrienols or a combination of these agents.

---

Data supplied from the esp@cenet database - <http://ep.espacenet.com>



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> :  A61K 31/365, 31/35, 31/355		A2	(11) International Publication Number:  <b>WO 99/15167</b>
			(43) International Publication Date: 1 April 1999 (01.04.99)
<p>(21) International Application Number: PCT/IB98/01721</p> <p>(22) International Filing Date: 24 September 1998 (24.09.98)</p> <p>(30) Priority Data: 08/938,640 26 September 1997 (26.09.97) US</p> <p>(71) Applicants (<i>for all designated States except US</i>): KGK SYN-ERGIZE INC. [CA/CA]; The University of Western Ontario Research Park, Suite 130, 100 Collip Circle, London, Ontario N6G 4X8, Ontario (CA). CARROLL, Margaret Aileen (legal representative of the deceased inventor) [CA/CA]; 561 St. George Street, London, Ontario N6A 3B9 (CA).</p> <p>(72) Inventor: CARROL, Kenneth, Kitchener (deceased).</p> <p>(72) Inventors; and</p> <p>(75) Inventors/Applicants (<i>for US only</i>): GUTHRIE, Najla [CA/CA]; Suite 2401, 389 Dundas Street, London, Ontario N6B 3L5 (CA). KUROWSKA, Elzbieta Maria [CA/CA]; 999 St. Croix Avenue, London, Ontario N6H 3X8 (CA).</p> <p>(74) Agents: ERRATT, Judy, A. et al.; Gowling, Strathy &amp; Henderson, Suite 2600, 160 Elgin Street, Ottawa, Ontario K1P 1C3 (US).</p>			<p>(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).</p> <p><b>Published</b> <i>Without international search report and to be republished upon receipt of that report.</i></p>
<p>(54) Title: USE OF CITRUS LIMONOIDS AND FLAVONOIDS AS WELL AS TOCOTRIENOLS FOR THE TREATMENT OF CANCER</p> <p>(57) Abstract</p> <p>Compositions and methods for the prevention and treatment of neoplastic diseases and hypercholesterolemia are described. Individuals at a high risk of developing or having neoplasia or hypercholesterolemia undergoing conventional therapies may be treated with an effective dose of triterpene derivatives in citrus limonoids, polyphenolic flavonoid citrus compounds, tocotrienols or a combination of these agents.</p>			

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Larvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

USE OF CITRUS LIMONOIDS AND FLAVONOIDS AS WELL AS TOCOTRIENOLS FOR THE TREATMENT OF  
CANCER

## 1. INTRODUCTION

The present invention relates to compositions and methods for the prevention and treatment of oncogenic disorders, atherosclerosis and hypercholesterolemia, with citrus limonoids, flavonoids and/or tocotrienols. Limonoids are a group of chemically related triterpene derivatives found in the Rutaceae and Meliaceae families. Citrus limonoids are among the bitter principles in citrus juices such as lemon, lime, orange and grapefruit. Flavonoids are polyphenolic compounds that occur ubiquitously in plant foods especially in orange, grapefruit and tangerine. Tocotrienols are present in palm oil and are a form of vitamin E having an unsaturated side chain. In the practice of the cancer prevention and/or treatment of the invention the limonoids, flavonoids and tocotrienols are used to inhibit the development and proliferation of cancer cells. Preferred compositions of the invention are those which specifically or preferentially prevent transformation of preneoplastic cells to tumor cells, and prevent or inhibit tumor cell proliferation, invasion and metastasis without general cytotoxic effects. In the practice of the prevention and/or treatment of atherosclerosis and/or hypercholesterolemia, the flavonoids, limonoids and tocotrienols are used to inhibit production of cholesterol, low-density lipoprotein (LDL) and apo B protein. Compositions comprising citrus flavonoids, limonoids and tocotrienols are used to prevent and/or inhibit production of cholesterol, LDL and apoB.

## 2. BACKGROUND

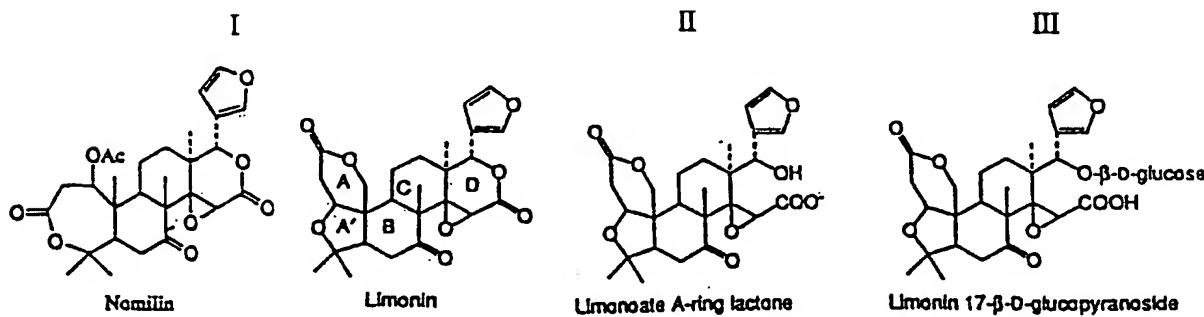
### 2.1. Citrus Limonoids.

Limonoids are a group of chemically related triterpene derivatives found in the Rutaceae and Meliaceae families. Limonoids are among the bitter principles found in citrus

fruits such as lemons, lime, orange and grapefruit. They are also present as glucose derivatives in mature fruit tissues and seed, and are one of the major secondary metabolites present in Citrus. Limonoids have been found to have anti-carcinogenic activity in laboratory animals. The furan moiety attached to the D- ring is specifically responsible for detoxifying of the chemical carcinogen by induction of the liver glutathione - S - transferase enzyme system (Lam, et al., 1994, Food Technol. 48:104-108).

Citrus fruit tissues and by-products of juice processing such as peels and molasses are sources of limonoid glucosides and citrus seeds contain high concentrations of both limonoid aglycones and glucosides. Limonoid aglycones in the fruit tissues gradually disappear during the late stages of fruit growth and maturation.

Thirty-eight limonoid aglycones have been isolated from Citrus. The limonoids are present in three different forms: the dilactone (I) is present as the open D-ring form (monolactone), the limonoate A-ring lactone (II) and the glucoside form (III). Only the monolactones and glucosides are present in fruit tissues. (Hasegawa S. et al., 1994, in Food Phytochemicals for Cancer Prevention I, eds M-T. Huang et al., American Chemical Society, 198-207).

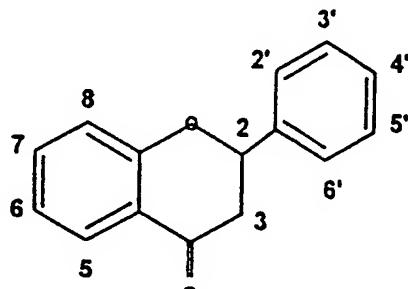


Compound III is the predominant limonoid glucoside found in all juice samples. In orange juice it comprises 56% of the total limonoid glucosides present, while in grapefruit and lemon juices, it comprises an average of 63% to 66% respectively. Procedures for the extraction and isolation of both aglycones and glucosides have been established to

obtain concentrated sources of various limonoids (Lam, L.K.T. et al., 1994, in Food Phytochemicals for Cancer Prevention, eds. M. Huang, T. Osawa, C. Ho and R.T. Rosen, ACS Symposium Series 546, p 209). The use of limonoids alone or in combination with a citrus flavonoid, tocotrienol, a cancer chemotherapeutic agent, or a combination of any one of these agents, has not been reported for the prevention and treatment of neoplastic diseases.

## 2.2. Citrus flavonoids.

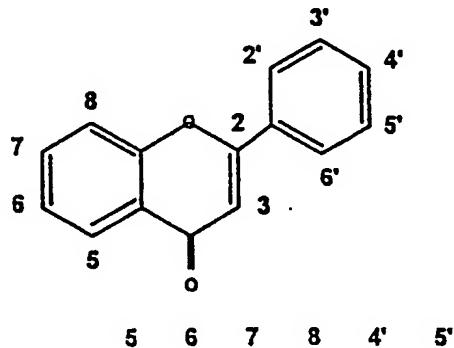
Epidemiological studies have shown that flavonoids present in the Mediterranean diet may reduce the risk of death from coronary heart disease (Hertog, M.G. et al., 1993, Lancet: 342, 1007-1011). Soybean isoflavones for example, genistein, which is a minor component of soy protein preparations may have cholesterol-lowering effects (Kurowska, E.M. et al., 1990, J. Nutr. 120:831-836). The flavonoids present in citrus juices such as orange and grapefruit include, but are not limited to, hesperetin and naringenin respectively. The use of flavonoids from citrus juices alone or in combination with a citrus limonoid, tocotrienol, a cholesterol - lowering drug, or a combination of any one of these agents, has not been reported for the treatment of hypercholesterolemia.



	5	7	3'	4'
HESPERETIN	OH	OH	OH	OCH <sub>3</sub>
NARINGENIN	OH	OH	—	OH

4

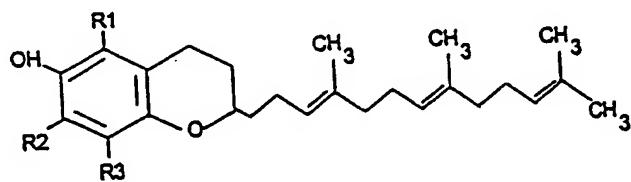
The flavonoids present in tangerine include, but are not limited to tangeretin or nobiletin. These flavonoids were found to inhibit growth of both estrogen receptor-negative (ER-) and positive (ER+) breast cancer cells in culture and act synergistically with tamoxifen and tocotrienols (Guthrie N. et al., 1996, Proc. Am. Inst. Cancer Res., Abs. #8).



TANGERETIN       $\text{OCH}_3, \text{OCH}_2\text{OCH}_3, \text{OCH}_2, \text{OCH}_3, \text{OCH}_2, \text{---}$   
 NOBILETIN       $\text{OCH}_3, \text{OCH}_2, \text{OCH}_3, \text{OCH}_2, \text{OCH}_3, \text{OCH}_2$

### 2.3 Tocotrienols in Palm Oil.

Tocotrienols are present in palm oil and are a form of vitamin E having an unsaturated side chain. They include, but are not limited to alpha-tocotrienol, gamma-tocotrienol or delta-tocotrienol.



	R1	R2	R3
$\alpha$ -tocotrienol	$\text{CH}_3$	$\text{CH}_3$	$\text{CH}_3$
$\gamma$ -tocotrienol	H	$\text{CH}_3$	$\text{CH}_3$
$\delta$ -tocotrienol	H	H	$\text{CH}_3$

### 2.4. Cancer Growth and Chemotherapy.

Cancer is a disease of inappropriate tissue accumulation. Chemotherapeutic agents share one characteristic: they are usually more effective in killing or damaging

malignant cells than normal cells. However, the fact that they do harm normal cells indicates their potential for toxicity. Animal tumor investigations and human clinical trials have shown that drug combinations produce higher rates of objective response and longer survival than single agents. Combination drug therapy is, therefore, the basis for most chemotherapy employed at present (DeVita, V.T. et.al., 1995, Cancer 35:98).

Cancer treatment requires inhibitions of a variety of factors including tumor cell proliferation, metastatic dissemination of cancer cells to other parts of the body, invasion, tumor-induced neovascularization, and enhancement of host immunological responses and cytotoxicity. Conventional cancer chemotherapeutic agents have often been selected on the basis of their cytotoxicity to tumor cells. However, some anticancer agents have adverse effects on the patient's immune system. Thus it would be greatly advantageous if a cancer therapy or treatment could be developed that would afford non-cytotoxic protection against factors that might lead to progression of tumors.

Because hormone therapy as well as chemotherapy is effective in controlling advanced breast cancer, it has been used as an adjuvant to mastectomy in primary breast cancer. Patients with ER+ or ER- tumors benefit from adjuvant chemotherapy. However, tamoxifen used alone as an adjuvant to mastectomy for breast cancer shows benefit in extending disease-free and overall survival (Cummings, F.J. et al., 1985, Ann. Intern. Med. 103;324).

### 3. SUMMARY OF THE INVENTION

The present invention is directed to a method for the prevention and/or treatment of neoplastic diseases, which involves using a composition of citrus limonoids to treat an individual at high risk for, or suffering from cancer.

The present invention is also directed to a method for the prevention and/or treatment of breast cancer, which involves using a composition of citrus limonoids and citrus flavonoids to an individual at high risk or suffering from cancer.

The present invention is also directed to a method for the prevention and/or treatment of breast cancer, which involves using a composition of citrus limonoids, citrus flavonoids and tocotrienols to an individual at high risk or suffering from cancer.

The present invention is also directed to a method for the prevention and/or treatment of breast cancer, which involves using a composition of citrus limonoids, citrus flavonoids, tocotrienols or tamoxifen to an individual at high risk or suffering from breast cancer.

The present invention is directed to a method for the prevention and for treatment of neoplastic diseases, which involves using an effective dose of a combination of citrus limonoids, flavonoids, and/or tocotrienols with or without conventional chemotherapy or hormonal and/or radiation therapy or surgery, to treat a patient suffering from cancer.

The present invention is also directed to a method for preventing immune suppression and toxicity induced by anticancer chemotherapeutic agents, using an effective dose of citrus limonoids alone or in combination with flavonoids, to treat a patient suffering from cancer.

The present invention further provides methods to treat cardiovascular disease, atherosclerosis or hypercholesterolemia, that is, lower serum cholesterol, apo-B and LDL cholesterol, using a composition of citrus flavonoids to treat an individual at high risk of or suffering from cardiovascular disease, for example, atherosclerosis or hypercholesterolemia.

The present invention further provides methods to treat hypercholesterolemia, that is, lower serum cholesterol, apo-B and LDL cholesterol, using a composition of citrus

flavonoids, citrus limonoids, tocotrienols, a cholesterol-lowering drug or a combination of these agents to treat an individual at high risk of or suffering from hypercholesterolemia.

#### 4. DETAILED DESCRIPTION OF FIGURES

Figure 1 depicts the design of the animal experiment.

Figure 2 describes the effect of dietary citrus juices on total and lipoprotein cholesterol concentrations in rabbits fed hypercholesterolemia casein diets.

Figure 3 describes the changes in overall apoB production in HepG2 cells exposed to increasing concentrations of naringenin (Fig. 3a) and hesperetin (Fig. 3b).

Figure 4 describes changes in incorporation of <sup>14</sup>C-acetate into cellular lipids in HepG2 cells incubated with hesperetin and naringenin.

#### 5. DETAILED DESCRIPTION OF THE INVENTION

The method of the invention involves administering an effective dose of a citrus limonoid alone or in combination with citrus flavonoids and tocotrienols, tamoxifen, a chemotherapeutic agent, or a specific combination of these agents, to an individual who is identified as being at enhanced risk for cancer and/or as having cancer, in order to prevent and/or treat cancer.

It may be that the ability of citrus limonoids alone or in combination with flavonoids or tocotrienols, to inhibit tumor cell proliferation, to inhibit the metastatic spread of tumor cells or to prevent immuno-suppression and toxicity induced by chemotherapeutic agents, contributes to their effectiveness in the prevention and treatment of neoplastic diseases. These possible mechanisms of action are in no way meant to limit the scope of the invention and are presented purely for explanatory and/or illustrative purposes.

The method of the invention also involves administering an effective dose of a citrus flavonoid alone or in combination with a citrus limonoid, tocotrienol or a cholesterol lowering drug, to an individual who is identified as being at enhanced risk for atherosclerosis,

cardiovascular disease or hypercholesterolemia and/or as having atherosclerosis, cardiovascular disease or hypercholesterolemia, in order to prevent and treat hypercholesterolemia.

It may be that the ability of citrus flavonoids to lower cholesterol, to inhibit liver cholesterol synthesis, inhibit LDL cholesterol and apo-B synthesis, contributes to their effectiveness in the reduction of atherosclerosis and hypercholesterolemia and lowering the risk of cardiovascular disease. These possible mechanisms of action are in no way meant to limit the scope of the invention and are presented purely for explanatory and/or illustrative purposes.

#### 5.1 Cancer.

Cancer is the second leading cause of death in the United States, after heart disease (Boring, C.C. et al., 1993, CA Cancer J. Clin. 43:7), and develops in one in three Americans, and one of every four Americans dies of cancer. Cancer can be viewed as a breakdown in the communication between tumor cells and their environment, including their normal neighboring cells. Signals, both growth-stimulatory and growth-inhibitory, are routinely exchanged between cells within a tissue. Normally, cells do not divide in the absence of stimulatory signals, and likewise, will cease dividing in the presence of inhibitory signals. In a cancerous, or neoplastic state, a cell acquires the ability to "override" these signals and to proliferate under conditions in which normal cells would not grow.

In addition to unhindered cell proliferation, cells must acquire several traits for tumor growth to occur. For example, early on in tumor development, cells must evade the host immune system. Further, as tumor mass increases, the tumor must acquire vasculature to supply nourishment and remove metabolic waste. Additionally, cells must acquire an ability to invade adjacent tissue, and ultimately cells often acquire the capacity to metastasize to distant sites.

Cancer of the breast is the most common form of malignant disease occurring among women of the Western World, and it is the most common cause of death among those who are between 40 and 45 years of age.

In North American women, characteristics that are associated with a threefold to fourfold increase in risk for breast cancer include (1) first-degree female family members (mothers and sisters) who had breast cancer, (2) prior breast cancer, (3) nulliparity, (4) age greater than 30 years at first pregnancy and (5) early menarche or late menopause (Sattin, R.W. et al., 1985, JAMA 253 : 1908). International studies have demonstrated a positive correlation between per capita consumption of fat and alcohol (Schatzkin A. et al., 1987, N. Engl. J. Med. 316 : 1169) and the incidence of breast cancer. (Carroll K. K., 1980, J. Env. Pathol. Tox. 3: 253-271). Several studies have linked the consumption of fresh fruits and vegetables, and vitamin E with reduced risk of developing cancer, including breast cancer (Steinmetz, K.A. et al., 1991, Cancer Causes Control 2 : 427-442). Although this protective effect has been generally attributed to the antioxidant capacities of vitamin C and beta-carotene present in these foods, it may be related to other phytochemical constituents such as citrus limonoids and flavonoids. The use of limonoids, flavonoids or tocotrienols alone or in combination with each other or with a cancer chemo-therapeutic agent has not been reported for the prevention and treatment of neoplastic diseases.

The present invention provides a number of different citrus limonoids comprising, but not limited to, limonin, nomilin, limonin glucoside or glucoside mixture, flavonoids comprising nobiletin or tangeretin and tocotrienol comprising alpha-tocotrienol, gamma-tocotrienol or delta-tocotrienol.

Cancers that can be prevented and/or treated by the compositions and methods of the present invention include, but are not limited to, human sarcomas and carcinomas, e.g. carcinomas, e.g., colon carcinoma, pancreatic cancer, breast cancer, ovarian cancer, prostate

cancer, fibrosarcoma, myxosarcoma, liposarcoma, chondrosarcoma, osteogenic sarcoma, chondroma, angiosarcoma, endotheliosarcoma, lymphangiosarcoma, lymphangioendotheliosarcoma, synovioma, mesothelioma, Ewing's tumor, leiomyosarcoma, rhabdomyosarcoma, squamous cell carcinoma, basal cell carcinoma, adenocarcinoma, sweat gland carcinoma, sebaceous gland carcinoma, papillary carcinoma, papillary adenocarcinomas, cystadenocarcinoma, medullary carcinoma, bronchogenic carcinoma, renal cell carcinoma, hepatoma, bile duct carcinoma, choriocarcinoma, seminoma, embryonal carcinoma, Wilms' tumor, cervical cancer, testicular tumor, lung carcinoma, small cell lung carcinoma, bladder carcinoma, epithelial carcinoma, glioma, astrocytoma, medulloblastoma, craniopharyngioma, ependymoma, pinealoma, hemangioblastoma, acoustic neuroma, oligodendrogloma, meningioma, melanoma, neuroblastoma, retinoblastoma; leukemias, e.g., acute lymphocytic leukemia and acute myelocytic leukemia (myeloblastic, promyelocytic, myelomonocytic, monocytic and erythroleukemia); chronic leukemia (chronic myelocytic (granulocytic) leukemia and chronic lymphocytic leukemia); and polycythemia vera, lymphoma (Hodgkin's disease and non-Hodgkin's disease), multiple myeloma, Waldenstrom's macroglobulinemia, and heavy chain disease. Specific examples of such cancers are described in the sections below.

### 5.2 Atherosclerosis and hypercholesterolemia.

In the United States, the complications of arteriosclerosis account for about one half of all deaths and for about one third of deaths in persons between 35 and 65 years of age. Atherosclerosis, or the development of atheromatous plaques in large and medium-sized arteries, is the most common form of arteriosclerosis. Many factors are associated with the acceleration of atherosclerosis, regardless of the underlying primary pathogenic change, for example, age, elevated plasma cholesterol level, high arterial blood pressure, cigarette

smoking, reduced high-density lipoprotein (HDL) cholesterol level, or family history of premature coronary artery disease.

The risk of death from coronary artery disease has a continuous and graded relation to total serum cholesterol levels greater than 180 mg/dl (Stamler, J. et al., 1986, JAMA 256 : 2823). Approximately one third of adults in the United States have levels that exceed 240 mg/dl and, therefore, have a risk of coronary artery disease that is twice that of people with cholesterol levels lower than 180mg/dl. Acceleration of atherosclerosis is principally correlated with elevation of LDL, or beta fraction, which is rich in cholesterol but poor in triglycerides. Elevation of HDL or alpha fraction, has a negative correlation with atherosclerosis (Castelli, W.P. et al., 1986, JAMA 256 : 2835). HDL exerts a protective effect and the ratio of total cholesterol to HDL cholesterol is a better predictor of coronary artery disease than the level of either alone. Total cholesterol levels are classified as being desirable (<200 mg/dl), borderline high (200-239 mg/dl), or high (>240 mg/dl) (Report of the National Education Program Expert Panel on Detection, Evaluation, and Treatment of High Blood Cholesterol in Adults, 1988, Arch. Intern. Med. 148 : 36)

Advances in the study of cholesterol metabolism and coronary disease have initiated an era of increased emphasis on preventive therapy. New guidelines for the detection and treatment of high blood cholesterol in adults recommend that patients with high cholesterol levels or with borderline-high levels and two or more additional risk factors should have a measurement of LDL. LDL cholesterol levels are then classified as borderline-high risk (130-159 mg/dl) or high risk ( $\geq 160$  mg/dl). Dietary treatment is recommended for those patients with high-risk levels of LDL and for those with borderline-high risk levels who have two or more additional risk factors. Drug treatment is recommended for all patients with LDL levels greater than 189 mg/dl and for those patients with LDL cholesterol levels between 159 and 189 mg/dl who have two or more additional risk factors. Among the many

drugs that have been used to reduce serum cholesterol levels are cholestyramine, colestipol, clofibrate, gemfibrozil and lovastatin. The use of flavonoids alone or in combination with citrus limonoids, tocotrienols or a cholesterol-lowering drug has not been reported for the treatment of hypercholesterolemia.

**5.3 Dosage and Formulations.**

Citrus limonoids, citrus flavonoids or tocotrienols may be formulated into pharmaceutical preparations for administration to mammals for prevention and treatment of neoplastic diseases and/ or cardiovascular disease, hypercholesterolemia or atherosclerosis.

Many of the citrus limonoids, flavonoids or tocotrienols may be provided as compounds with pharmaceutically compatible counterions, a form in which they may be soluble.

The therapeutic compounds or pharmaceutical compositions may be administered intravenously, intraperitoneally, subcutaneously, intramuscularly, intrathecally, orally, rectally, topically or by aerosol.

Formulations suitable for oral administration include liquid solutions of the active compound dissolved in diluents such as saline, water or PEG 400; capsules or tablets, each containing a predetermined amount of the active agent as solid, granules or gelatin; suspensions in an approximate medium; and emulsions.

Formulations suitable for parenteral administration include aqueous and non-aqueous isotonic sterile solutions, which contain buffers, antioxidants and preservatives. The formulations may be in unit dose or multi-dose sealed containers.

Patient dosages for oral administration of citrus limonoids range from 1-500 mg/day, commonly 1-100 mg/day, and typically from 1-100 mg/day. Stated in terms of patient body weight, usual dosages range from 0.01-10 mg/kg/day, commonly from 0.01-2.0 mg/kg/day, typically from 0.01 to 2.0 mg/kg/day.

Patient dosages for oral administration of citrus flavonoids range from 200-5000 mg/day, commonly 1000-2000 mg/day, and typically from 500-1500 mg/day. Stated in terms of patient body weight, usual dosages range from 15-70 mg/kg/day, commonly from 15-30 mg/kg/day, typically from 7-21 mg/kg/day.

Patient dosages for oral administration of tocotrienols range from 1-1200 mg/day, commonly 1-100 mg/day, and typically from 1-60 mg/day. Stated in terms of patient body weight, usual dosages range from 0.01-20 mg/kg/day, commonly from 0.01-2.0 mg/kg/day, typically from 0.01 to 1.0 mg/kg/day.

Dosage amount and interval may be adjusted individually to provide plasma levels of the active moiety which are sufficient to maintain the anti-proliferative and anti-metastatic effects.

Alternatively, one may administer the compound in a local, rather than oral manner, for example, via injection of the compound directly into a tumor, often in a depot or sustained release formulation.

A variety of delivery systems for the pharmacological compounds may be employed, including, but not limited to, liposomes and emulsions. The pharmaceutical compositions also may comprise suitable solid or gel phase carriers or excipients. Examples of such carriers or excipients include, but are not limited to, calcium carbonate, calcium phosphate, various sugars, starches, cellulose derivatives, gelatin, and polymers such as polyethylene glycols.

Furthermore, one may administer the agent in a targeted drug delivery system, for example, in a liposome coated with tumor-specific antibody. The liposomes will be targeted to and taken up selectively by the tumor.

In cases of local administration or selective uptake, the effective local concentration of the drug may not be related to plasma concentration.

6. EXAMPLE: Effects of Citrus Limonoids, Flavonoids, Tocotrienols and/or Tamoxifen in MDA-MB-435 and MCF-7 Breast Cancer Cells

(a) The effect of citrus limonoids, nomilin, limonin and limonin

glucoside on the proliferation and growth of MDA-MB-435 estrogen receptor-negative human breast cells was studied in vitro, as measured by the incorporation of [<sup>3</sup>H] Thymidine.

Materials: Limonin, nomilin, limonin glucosides and a mixture of glucosides (limonin glucoside-30% nomilin glucoside-12.7%, nomilinic acid glucoside-35%, obacunone glucoside-8.2%, deacetylnomilin glucoside-6.6% and deacetylномilinic acid glucoside-8.7%) were obtained from Dr. Shin Hasagawa at the United States Department of Agriculture, Agricultural Research Service, Albany, California. Tissue culture medium and fetal calf serum were purchased from Gibco, Burlington, ON. [<sup>3</sup>H] Thymidine was purchased from ICN, Irvine, CA.

Cell Culture: MDA-MB-435 cells (human breast cancer cells) were maintained at 37°C in a minimum essential medium, supplemented with 10% (v/v) fetal bovine serum. The medium was equilibrated with a humidified atmosphere of 5% CO<sub>2</sub>. Stock cultures were seeded at a density of 2 x 10<sup>4</sup> cells/ml and allowed to multiply for 48 to 72 hours.

Incorporation of [<sup>3</sup>H] Thymidine Into DNA: MDA-MB-435 cells were plated at 2 x 10<sup>4</sup> cells/well in 96-well, flat bottomed, culture plates in a total volume of 200 μL of medium and incubated at 37°C for 48 hours with or without test compounds. [<sup>3</sup>H] Thymidine (0.5 μCi/well) was then added and after 4 hours the cells were harvested onto a glass fibre filter paper using a semiautomatic 12-well cell harvester (Skatron Inc., Sterling, VA). Radioactivity on the filter paper was counted using Scintiverse in a liquid scintillation counter.

Growth Experiment: MDA-MB-435 cells were plated at  $1 \times 10^4$  cells/dish in 60 mm dishes with or without test compounds. The cells were removed by trypsinization at specified times and counted using a hemocytometer.

Viability of Cells: Viability of cells was measured by MTT assay (Hansen, M.B. et al., J. Immunol. Meth., 119, 203-210, 1989). In this assay a tetrazolium salt, 3-[4,5-dimethylthiazole]-2,5-diphenyltetrazolium bromide, MTT, is reduced to a blue formazan product by mitochondrial dehydrogenases that are active in living cells. The intensity of the blue colour developed is a measure of cell viability. MDA-MB-435 cells ( $8 \times 10^4$ /well) were seeded in 96-well, flat-bottomed tissue culture plates with various concentrations of the test compounds, in a total volume of 200  $\mu\text{l}$ /well of medium. Forty-eight hours later, MTT (25  $\mu\text{L}$  of 5 mg/ml) was added to each well. After 3 hours, 100  $\mu\text{l}$  of extraction buffer, consisting of 20% SDS dissolved in a 50% DMF, 50% water solution at pH 4.0, was added. The blue colour formed was measured at 570nm in a Dynatech MRX Microplate Reader. The percentage of cells surviving was determined by comparing the absorbance of the treated cells with that of the control.

Results: Nomilin was the most effective, at inhibiting the proliferation of the breast cancer cells, having an IC<sub>50</sub> of 0.4  $\mu\text{g}/\text{ml}$ . Limonin and limonin glucoside inhibited these cells with IC<sub>50</sub>s of 12.5 and 75  $\mu\text{g}/\text{ml}$  respectively. A mixture of citrus limonoids was tested and gave an even lower IC<sub>50</sub> of 0.08  $\mu\text{g}/\text{mL}$ . Table 1.

Table 1

**Inhibition of Proliferation of MDA-MB-435 Estrogen Receptor-Negative Human Breast Cancer Cells by Citrus Limonoids**

LIMONOID	IC <sub>50</sub> ( $\mu\text{g}/\text{ml}$ )
Nomilin	0.4
Limonin	12.5
Limonin Glucoside	75
Glucoside Mixture	0.08

These results suggest that the citrus limonoids tested are potential anti-cancer agents.

(b) Palm oil tocotrienols inhibit proliferation of MDA-MB-435 estrogen receptor-negative (ER-) as well as MCF-7 estrogen receptor-positive (ER+) human breast cancer cells at IC<sub>50</sub>s of 30-180 mg/ml in ER- cells and 2-125 mg/ml in ER+ cells. These cells are also inhibited by flavonoids, including genistein, hesperetin, naringenin and quercitin at IC<sub>50</sub>s of 18-140 ug/ml in ER - cells and 2-18 ug/ml in ER + cells. The effect of two citrus flavonoids, tangeretin and nobiletin (from tangerines), alone and in 1:1 combinations with tocotrienols, with or without tamoxifen, was studied in both MDA-MB-435 and MCF-7 cells.

Materials - TRF and the individual tocotrienols were obtained from the Palm Oil Research Institute of Malaysia (PORIM), Kuala Lumpur. Hesperetin, nobiletin and tangeretin were obtained from State of Florida, Department of Citrus Lake Alfred, FL. Apigenin, genistein, hesperetin, naringenin, quercetin and tamoxifen were purchased from the Sigma Chemical Co., St. Louis, MO. Tissue culture medium, fetal calf serum and fungizone were purchased from Gibco, Burlington, ON. Fetal calf serum treated with dextran-coated charcoal (FCS/DCC) was obtained from Cocalico Biologicals Inc., Reamstown, PA. [<sup>3</sup>H] Thymidine was purchased from ICN, Irvine, CA. MTT and all other chemicals were purchased from Sigma.

Cell Culture -MDA-MB-435 (estrogen receptor-negative) human breast cancer cells were maintained at 37°C in minimum essential medium (alpha modification) containing 3.7 g of sodium bicarbonate per litre supplemented with 10% v/v fetal calf serum and 1% (v/v) fungizone (antibiotic/antimycotic), in humidified atmosphere of 5% carbon dioxide. Stock, cultures were seeded at a density of 2 x 10 cells and allowed to multiply for 48-72 hours. MCF-7 cells (estrogen receptor-positive human breast cancer cells) were maintained in the same medium as above, supplemented with 1mM sodium pyruvate and 10 µg/mL

insulin. The cells were grown at 37°C in a humidified atmosphere containing 5% CO<sup>2</sup>. Stock cultures were seeded at a density of 2 x 10 cells/mL and passaged weekly, using 0.25% trypsin.

Incorporation of [<sup>3</sup>H] Thymidine Into DNA - MDA-MB-435 cells were plated at a density of 2 x 10<sup>4</sup> cells /well in 96-well, flat-bottomed plates in a total volume of 200 µL of medium and incubated at 37°C for 48 hours, with or without the test compounds. [<sup>3</sup>H] thymidine (0.5 µCi/well) was then added to determine the number of dividing cells at each concentration and after 4 hours the cells were harvested onto a glass fibre filter paper using semi-automatic, 12-well cell harvester (Skatron Inc., Sterling, VA). Radioactivity on the filter paper was counted, using BCS scintillant in a liquid scintillation counter. For the MCF-7 cells, the growth medium was exchanged for pheno red- free medium containing 10% fetal calf serum that had been treated with dextran-coated charcoal (FCS/DCC) five days prior to use. The cells were then trypsinized and 2 x 10<sup>4</sup> cells/well were plated as described above. After 2 days the medium was removed and the cells were incubated for 5 days in an experimental medium containing 2.5% FCS/DCC with or without the test compounds. [<sup>3</sup>H] Thymidine (0.5 µCi/well) was then added and the cells were harvested as described above. The concentration at which 50% inhibition occurred was determined by comparing the number of disintegrations per minute for the treated cells with that obtained for the control cells.

Growth Experiment - MDA-MB-435 and MCF-7 cells were plated at 1 x 10<sup>4</sup> cells/dish in 60 mm dishes with or without test compounds at their IC50 concentration in a total volume of 7 mL. The cells were removed by trypsinization at the specified times and counted, using a hemocytometer.

Results - Tangeretin and nobiletin inhibited these cells more effectively than other flavonoids tested to date, with an IC50 of 0.4 & 0.8µg/mL respectively in the ER+ cells.

When the flavonoids were tested in 1:1 combinations with tocotrienols, tangeretin and gamma-tocotrienol gave the lowest IC50s (0.05 µg/mL in ER- cells and 0.02 µg/mL in ER+ cells). The addition of tamoxifen also decreased the IC50 of 0.01 µg/mL in ER- cells but did not alter the IC50 in ER+ cells. Tamoxifen also decreased the IC50 for nobiletin and delta-tocotrienol from 0.8 to 0.001 µg/mL in ER+ cells. These results suggest that tocotrienols and citrus flavonoids are anti-cancer agents in the treatment of breast cancer.

**7. EXAMPLE: Hypocholesterolemic Effects of  
Naringenin and Hesperetin in Rabbits and HEPG2  
Cells**

(a) The effect of naringenin and hesperetin on casein-induced hypercholesterolemia was studied in rabbits. In each of the two experiments, rabbits were assigned to three experimental groups (Fig. 1). Each group was given semipurified, cholesterol-free casein diet and either water, orange juice or grapefruit juice to drink. Dextrose in the diets of the hesperitin (orange juice) and naringenin (grapefruit juice) groups was reduced to account for the sugar consumed via citrus juices. All other dietary components were left unchanged. Table 2.

Table 2

Average Percentage Composition of Cholesterol-free Semipurified Casein  
Diets Fed to Rabbits.

Ingredient	Diet		
	Control	Orange Juice	Grapefruit Juice
Casein	27.0	35.4	30.3
Dextrose	55.7	46.4	50.2
Alphacel	4.8	5.0	5.4
Salt mixture (Phillips & Hart)	4.0	4.2	4.5
Molasses	4.2	4.5	4.7
Palm oil	1.3	1.4	1.5
Soy oil	2.2	2.3	2.5
Oil soluble vitamins	0.5	0.6	0.6
Water soluble vitamins	1.5	1.6	1.7

Serum Analysis: At the end of the study, VLDL, LDL and HDL fractions were separated by discontinuous density gradient ultracentrifugation. Total cholesterol in serum and in each lipoprotein fraction were measured using the Boehringer Manheim CHOL kit (Manheim, Germany).

Liver Tissue Analysis: Total lipids were extracted from liver samples according to Folch et al., 1957, J. Biol. Chem. 226:497-509. Total and free cholesterol in lipid extracts were determined using the Boehringer Manheim kits.

Fecal Analysis: Feces collected for three days during the last week of the study were freeze-dried. Fecal neutral steroids were extracted with petroleum ether, following saponification. Fecal cholesterol in the extracts was quantified using the CHOL kit. Fecal bile acids were extracted with t-butanol and enzymatically quantified with 3a-hydroxysteroid dehydrogenase (van der Meer et al., 1985, In Cholesterol Metabolism in Human Disease Studies in the Netherlands, eds. A. C. Beynen, et al., 113-119).

(b) the effect of naringenin and hesperitin on metabolism of cholesterol in rabbits was studied using HepG2 cells in vitro.

Cell Culture: Confluent HepG2 cells were preincubated in minimum essential medium (MEM) containing 1.0% bovine serum albumin (BSA) for 24 hours. This was followed by a second 24 hour incubation with or without various non-toxic concentrations of naringenin or hesperitin (7.5 - 60 µg/ml). At the end of the second 24 hour incubation, the apoB content of the media was determined by enzyme-linked immunosorbent assay (ELISA) (Young et al., 1986, Clin. Chem. 32:1484-1490).

#### <sup>14</sup>C-acetate Incorporation

To determine whether exposure to flavonoids can also alter intracellular lipid metabolism, confluent HepG2 cells were incubated for 24 hours with the highest apoB-reducing, non-toxic concentration of these flavonoids, in the presence of <sup>14</sup>C-acetate

( $0.5\mu\text{Ci}/\text{ml}$  medium). Radiolabelled lipids were then extracted from cells using heptane/isopropyl alcohol (3:2, v/v), and separated by thin layer chromatography. A hexane/ethyl ether/acetic acid solvent (75:25:1, v/v) was used to separate cholesterol, cholesterol esters and triglycerides. Lipid fractions were scraped into scintillation vials and the radioactivity was measured using Beckman Scintillation Counter.

Protein Determination: The soluble cellular protein was extracted and measured using Pierce Coomassie Plus Protein Assay.

Results: (a) In rabbits, replacement of drinking water with either grapefruit juice (naringenin) or orange juice (hesperetin) counteracted the rise in LDL cholesterol induced by dietary casein (Figure 2).

The antihypercholesterolemic action of hesperetin (orange juice), but not naringenin (grapefruit juice), is associated with reduction of liver cholesterol. (Table 3)

**Table 3.**  
Total Cholesterol, Cholesterol Esters and Free Cholesterol for Each Experiment

Group (N)	Total Cholesterol (mg/g liver)	Cholesterol Esters (mg/g liver)	Free Cholesterol (mg/g liver)
Control 12	$3.8 \pm 0.2^a$	$1.2 \pm 0.2^c$	$2.7 \pm 0.1$
Orange 12	$3.1 \pm 0.1^a$	$0.7 \pm 0.1^d$	$2.4 \pm 0.1$
Grapefruit 11	$3.4 \pm 0.2^a$	$0.7 \pm 0.1^d$	$2.7 \pm 0.1$

Values are means  $\pm$  SEM. a,b,c,d= significant difference at  $p<0.05$  (One-way ANOVA, Bonferroni t-test)

The effect of dietary hesperetin or naringenin on cholesterol levels is unlikely to be related to increased fecal excretion of cholesterol and bile acids since there was no difference in these measurements between the three groups. Table 4.

**Table 4.** Fecal cholesterol and bile acid excretion for each experimental group.

Group (N)		Cholesterol (mg/day)	Bile Acid (mg/day)
Control	12	3.0 ± 0.5 <sup>a</sup>	10.5 ± 1.5
Orange	12	1.7 ± 0.3 <sup>b</sup>	10.3 ± 1.9
Grapefruit	1	1.5 ± 0.3 <sup>b</sup>	8.8 ± 1.1

Values are means ± SEM

a,b= significant difference p&lt;0.05 (One-way ANOVA)

(b) Naringenin and hesperitin present at high levels in citrus fruits have the ability to reduce overall production of apo-B in HepG2 cells. This effect is specific and not independent on reduction of cholesterol synthesis. Figures 3a, 3b and 4. These results in vitro indicate that naringenin and hesperitin influence metabolism of lipoproteins directly in liver.

The present invention is not to be limited in scope by the embodiments disclosed in the examples which are intended as an illustration of one aspect of the invention and any methods which are functionally equivalent are within the scope of the invention. Indeed, various modifications of the invention in addition to those shown and described herein will become apparent to those skilled in the art from the foregoing description. Such modifications are intended to fall within the scope of the appended claims.

Various publications are cited herein, the disclosures of which are incorporated by reference in their entireties.

What is claimed is:

1. A method of preventing or inhibiting the growth of a cancer in an individual comprising administering to an individual in need thereof a therapeutically effective amount of a composition comprising a citrus limonoid.
2. The method according to claim 1 wherein the citrus limonoid comprises limonin or nomilin.
3. The method according to claim 2 further comprising a citrus flavonoid.
4. The method according to claim 3 wherein the citrus flavonoid comprises nobeletin or tangeretin.
5. The method according to claim 4 further comprising a tocotrienol.
6. The method according to claim 5 wherein the tocotrienol comprises alpha-tocotrienol, gamma-tocotrienol or delta-tocotrienol.
7. The method according to claim 1 further comprising an effective amount of a chemotherapeutic agent.
8. The method according to claim 1 further comprising an effective amount of tamoxifen.
9. The method according to claim 3 further comprising an effective amount of a chemotherapeutic agent.
10. The method according to claim 5 further comprising an effective amount of a chemotherapeutic agent.
11. The method according to claim 5 further comprising an effective amount of tamoxifen.
12. The method according to claim 1 wherein the cancer is selected from the group consisting of colon carcinoma, pancreatic cancer, breast cancer, ovarian cancer, prostate cancer, fibrosarcoma, myxosarcoma, liposarcoma, chondrosarcoma, osteogenic

sarcoma, chordoma, angiosarcoma, endotheliosarcoma, lymphangiosarcoma, lymphangioendotheliosarcoma, synovioma, mesothelioma, Ewing's tumor, leiomyosarcoma, rhabdomyosarcoma, squamous cell carcinoma, basal cell carcinoma, adenocarcinoma, sweat gland carcinoma, sebaceous gland carcinoma, papillary carcinoma, papillary adenocarcinomas, cystadenocarcinoma, medullary carcinoma, bronchogenic carcinoma, renal cell carcinoma, hepatoma, bile duct carcinoma, choriocarcinoma, seminoma, embryonal carcinoma, Wilms' tumor, cervical cancer, testicular tumor, lung carcinoma, small cell lung carcinoma, bladder carcinoma, epithelial carcinoma, glioma, astrocytoma, medulloblastoma, craniopharyngioma, ependymoma, pinealoma, hemangioblastoma, acoustic neuroma, oligodendrolioma, meningioma, melanoma, neuroblastoma, retinoblastoma; leukemias, e.g., acute lymphocytic leukemia and acute myelocytic leukemia; chronic leukemia ; polycythemia vera, lymphoma , multiple myeloma, Waldenstrom's macroglobulinemia, and heavy chain disease.

13. The method according to claim 1 wherein the composition is administered orally, intravenously, intraperitoneally, subcutaneously or intramuscularly.

14. A composition suitable for administering to a human subject for the prevention or treatment of cancer, said composition comprising a citrus limonoid and a citrus flavonoid.

15. A composition suitable for administering to a human subject for the prevention or treatment of cancer, said composition comprising a citrus limonoid and a tocotrienol.

16. A composition suitable for administering to a human subject for the prevention or treatment of cancer according to claim 15, said composition further comprising a citrus flavonoid.

17. A composition suitable for administering to a human subject for the prevention or treatment of cancer, said composition comprising a citrus flavonoid and a tocotrienol.

18. A method of preventing or inhibiting hypercholesterolemia in an individual comprising administering to an individual in need thereof a therapeutically effective amount of a composition comprising a citrus flavonoid.

19. The method according to claim 18 wherein the citrus flavonoid comprises naringenin or hesperetin.

20. The method according to claim 18 further comprising administering a therapeutically effective amount of a citrus limonoid.

21. The method according to claim 18 further comprising administering a therapeutically effective amount of a tocotrienol.

22. The method according to claim 18 further comprising administering a therapeutically effective amount of a cholesterol-lowering drug.

23. The method according to claim 20 further comprising administering a therapeutically effective amount of a cholesterol-lowering drug.

24. The method according to claim 21 further comprising administering a therapeutically effective amount of a cholesterol-lowering drug.

25. A composition suitable for administering to a human subject for the prevention or treatment of hypercholesterolemia, said composition comprising a citrus limonoid and a citrus flavonoid.

26. A composition suitable for administering to a human subject for the prevention or treatment of hypercholesterolemia, said composition comprising a citrus limonoid and a tocotrienol.

27. A composition suitable for administering to a human subject for the prevention or treatment of hypercholesterolemia according to claim 26, said composition further comprising a citrus flavonoid.

1 / 4

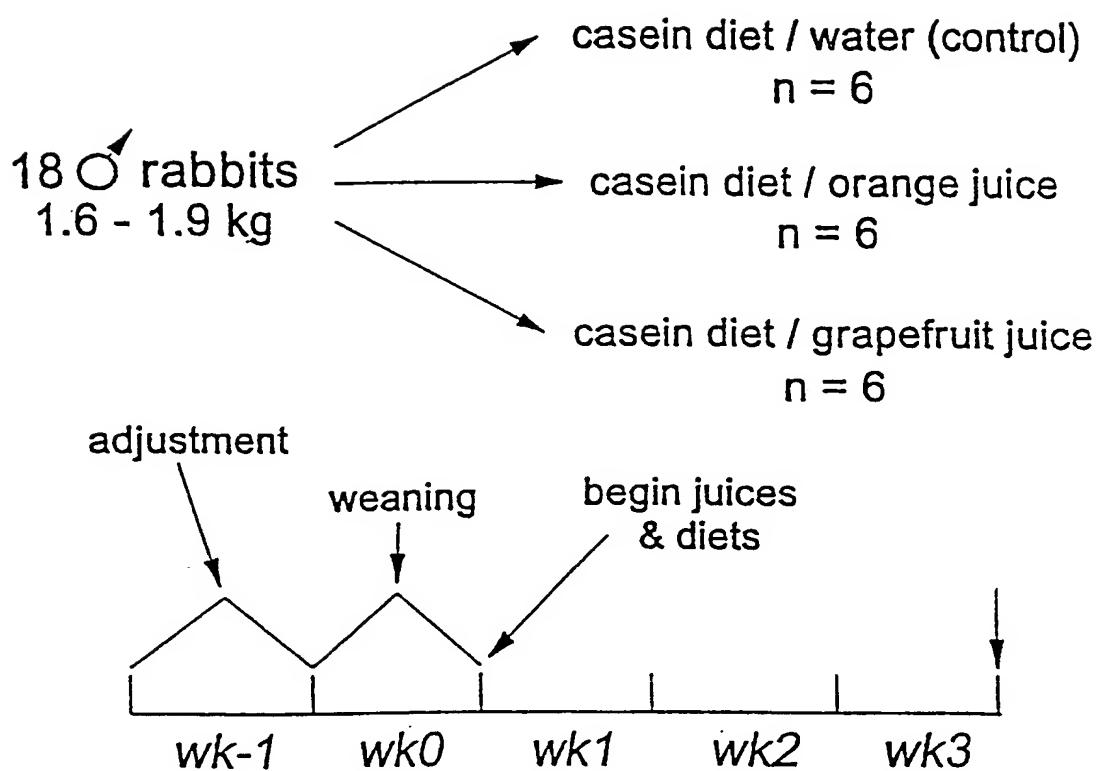


FIG. 1

2 / 4

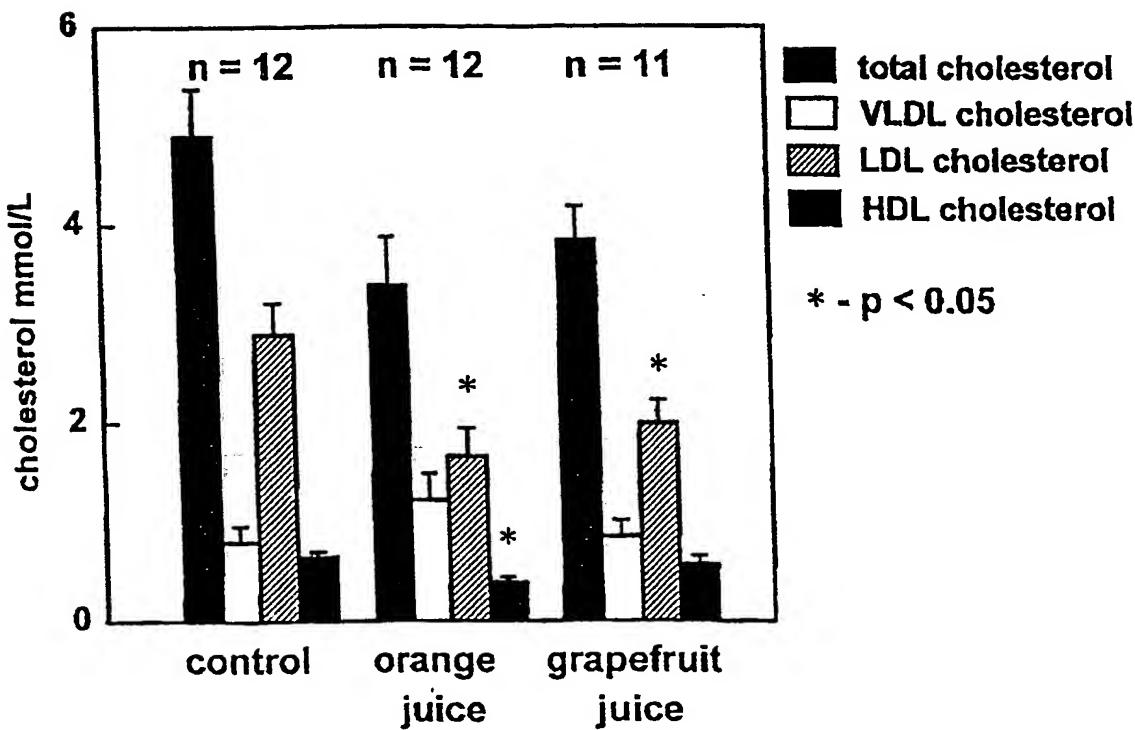


FIG. 2

3/4

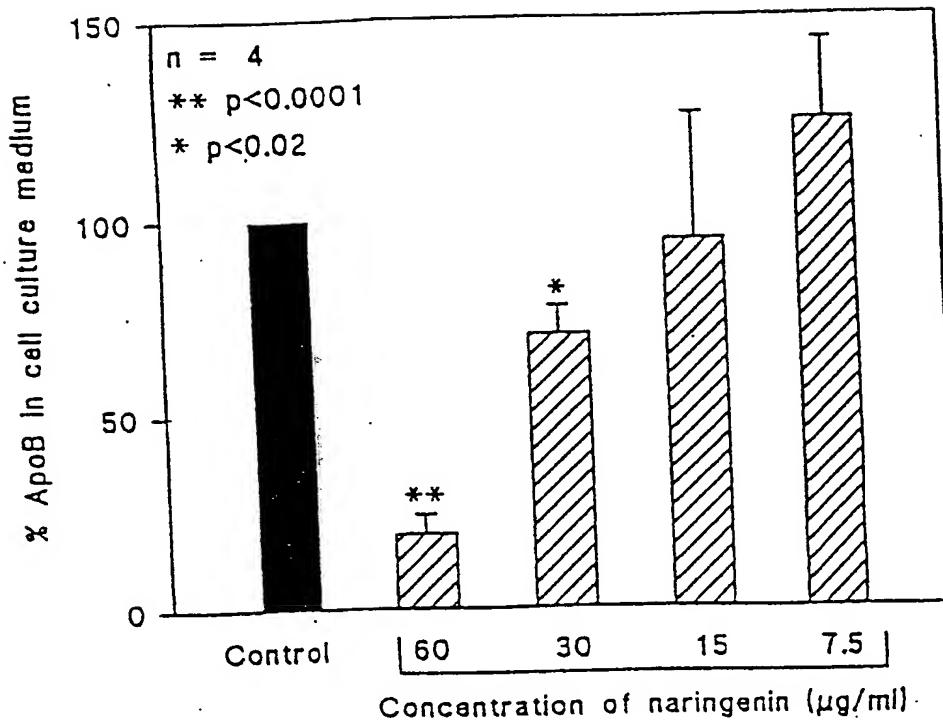


FIG. 3a

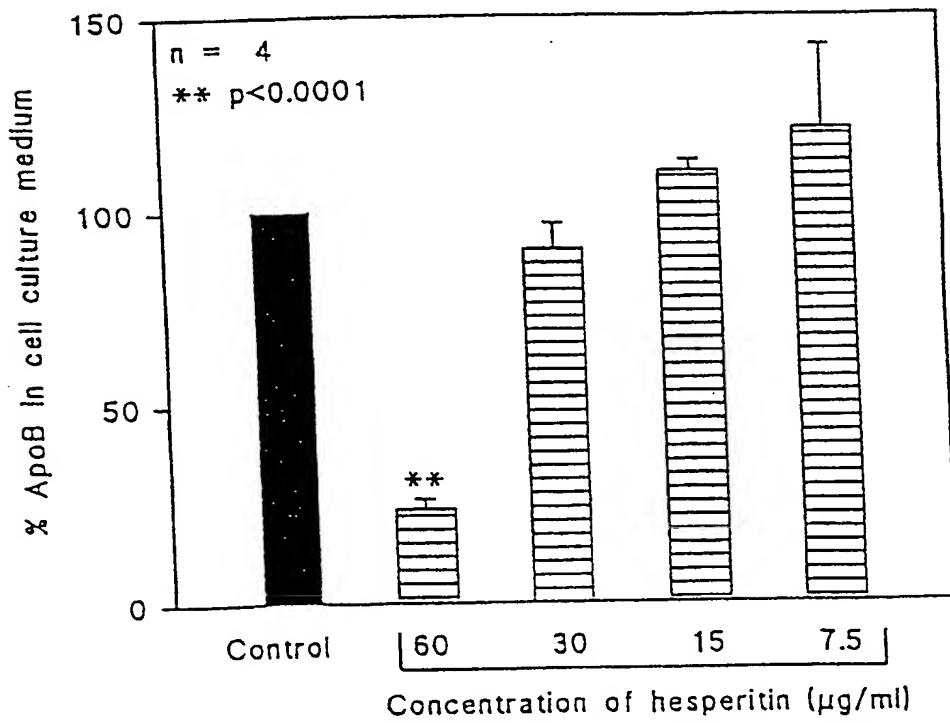


FIG. 3b

SUBSTITUTE SHEET (RULE 26)

4 / 4

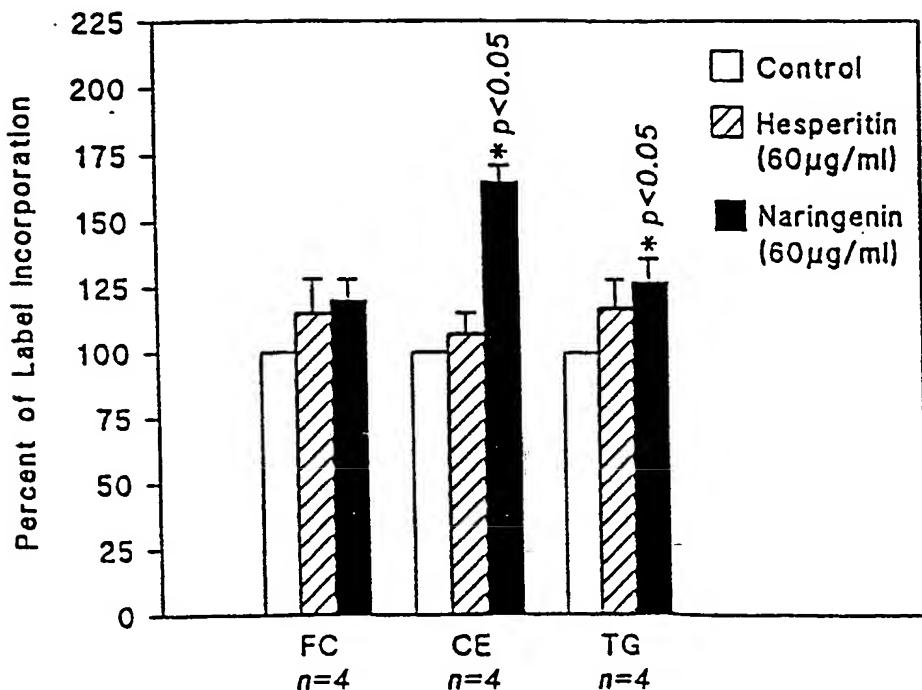


FIG. 4

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6 : A61K 31/365, 31/35, 31/355 // (A61K 31/365, 31:35) (A61K 31/365, 31:355) (A61K 31/365, 31:135) (A61K 31/355, 31:35), (A61K 31/365, 31:355, 31:35)	A3	(11) International Publication Number: WO 99/15167 (43) International Publication Date: 1 April 1999 (01.04.99)
(21) International Application Number: PCT/IB98/01721		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
(22) International Filing Date: 24 September 1998 (24.09.98)		
(30) Priority Data: 08/938,640 26 September 1997 (26.09.97) US		(Published) <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
(71) Applicants ( <i>for all designated States except US</i> ): KGK SYN- ERGIZE INC. [CA/CA]; The University of Western Ontario Research Park, Suite 130, 100 Collip Circle, London, On- tario N6G 4X8, Ontario (CA). CARROLL, Margaret Aileen (legal representative of the deceased inventor) [CA/CA]; 561 St. George Street, London, Ontario N6A 3B9 (CA).		
(72) Inventor: CARROL, Kenneth, Kitchener (deceased).		
(72) Inventors; and		
(75) Inventors/Applicants ( <i>for US only</i> ): GUTHRIE, Najla [CA/CA]; Suite 2401, 389 Dundas Street, London, Ontario N6B 3L5 (CA). KUROWSKA, Elzbieta Maria [CA/CA]; 999 St. Croix Avenue, London, Ontario N6H 3X8 (CA).		
(74) Agents: ERRATT, Judy, A. et al.; Gowling, Strathy & Henderson, Suite 2600, 160 Elgin Street, Ottawa, Ontario K1P 1C3 (US).		

(54) Title: USE OF CITRUS LIMONOIDS AND FLAVONOIDS AS WELL AS TOCOTRIENOLS FOR THE TREATMENT OF CANCER

(57) Abstract

Compositions and methods for the prevention and treatment of neoplastic diseases and hypercholesterolemia are described. Individuals at a high risk of developing or having neoplasia or hypercholesterolemia undergoing conventional therapies may be treated with an effective dose of triterpene derivatives in citrus limonoids, polyphenolic flavonoid citrus compounds, tocotrienols or a combination of these agents.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/IB 98/01721

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 A61K31/365 A61K31/35 A61K31/355 // (A61K31/365, 31:35),  
 (A61K31/365, 31:355), (A61K31/365, 31:135), (A61K31/355, 31:35),  
 (A61K31/365, 31:355, 31:35)

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	GB 2 222 769 A (TOYOTAMA PERFUMERY) 21 March 1990 see claims 1,2 see page 6, line 23 - page 7, line 13	1,2,12, 13
Y	---	1-16 26,27
X	MILLER E G ET AL: "CITRUS LIMONOIDS AS INHIBITORS OF ORAL CARCINOGENESIS SEVEN CITRUS COMPOUNDS WERE TESTED FOR THEIR CANCER-PREVENTIVE ACTIVITY" FOOD TECHNOLOGY, vol. 48, no. 11, 1 November 1994, pages 110-112, 114, XP000483353 see page 114, right-hand column, paragraph 3	1,2,12, 13
Y	---	1-16
	-/-	



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

### \* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "Z" document member of the same patent family

Date of the actual completion of the international search

12 April 1999

Date of mailing of the international search report

07 May 1999 (07-05-99)

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Herrera, S

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/IB 98/01721

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	LAM L K T ET AL: "CITRUS LIMONOID REDUCTION OF CHEMICALLY INDUCED TUMORIGENESIS BITTER COMPOUNDS FOUND IN CITRUS FRUITS MAY BE USEFUL CANCER-PREVENTIVE AGENTS" FOOD TECHNOLOGY, vol. 48, no. 11, 1 November 1994, pages 104-108, XP000483352 see page 104, right-hand column, paragraph 3-5 see page 105, left-hand column, paragraph 2-3 see page 106, left-hand column, paragraph 2 - page 108, left-hand column, paragraph 1	1,2,12, 13
Y	---	1-16
Y	BRACKE M E ET AL: "CITRUS FLAVONOID EFFECT ON TUMOR INVASION AND METASTASIS THE CITRUS FLAVONOID TANGERETIN MAY INHIBIT THE PROCESSES THAT SHORTEN THE LIFE EXPECTANCY OF TUMOR-BEARING PATIENTS" FOOD TECHNOLOGY, vol. 48, no. 11, 1 November 1994, pages 121-124, XP000483355 see page 122, right-hand column, paragraph 2 - page 123, left-hand column, paragraph 2	3,4,9, 14,16,17
Y	---	1-16
P,Y	WO 98 38993 A (WISCONSIN ALUMNI RES FOUND) 11 September 1998 see abstract	5,6,10, 11,15-17
X	GUTHRIE ET AL: "Abstract 1907: combined effect of palm oil tocotrienols, flavonoids and tamoxifen on the proliferation of estrogen receptor-positive MCF-7 human breast cancer cells" PROCEEDINGS OF THE AMERICAN ASSOCIATION FOR CANCER RESEARCH, vol. 37, - March 1996 page 280 XP002099426 cited in the application see abstract	17
Y	---	26,27
Y	US 5 348 974 A (WRIGHT JOHN J ET AL) 20 September 1994 see abstract	26,27
Y	---	26,27
Y	US 4 603 142 A (BURGER WARREN C ET AL) 29 July 1986 see abstract	26,27
	---	-/-

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/IB 98/01721

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	MONFORTE M T ET AL: "BIOLOGICAL EFFECTS OF HESPERIDIN, A CITRUS FLAVONOID, (NOTE II): HYPOLIPIDEMIC ACTIVITY ON EXPERIMENTAL HYPERCHOLESTEROLEMIA IN RAT" II FARMACO, vol. 50, no. 9, September 1995, pages 595-599, XP002066945 see the whole document -----	27

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/IB 98/01721

### Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
  
3.  Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

### Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1.  As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
  
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
  
3.  As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:

1-17, 26-27

4.  No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- The additional search fees were accompanied by the applicant's protest.
- No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-16

Use of citrus limonoids, when appropriate together with citrus flavonoids, tocotrienols or chemotherapeutic agents, for the treatment of cancer

2. Claim : 17

Composition for treating cancer comprising a citrus flavonoid and a tocotrienol

3. Claims: 1-25

Use of a citrus flavonoid, when appropriate together with citrus limonoids or tocotrienols, for the treatment of hypercholesterolemia

4. Claims: 26-27

Compositions comprising a citrus limonoid and a tocotrienol for the treatment of hypercholesterolemia

**INTERNATIONAL SEARCH REPORT**

Information on patent family members

International Application No

PCT/IB 98/01721

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
GB 2222769	A 21-03-1990	JP	2083320 A	23-03-1990
		JP	2724333 B	09-03-1998
		CH	678919 A	29-11-1991
		DE	3922666 A	22-03-1990
		FR	2636533 A	23-03-1990
		US	5041425 A	20-08-1991
WO 9838993	A 11-09-1998	AU	6335198 A	22-09-1998
US 5348974	A 20-09-1994	US	5217992 A	08-06-1993
		CA	2026713 A	05-04-1991
		EP	0421419 A	10-04-1991
		JP	3246222 A	01-11-1993
US 4603142	A 29-07-1986	NONE		

This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record

## BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT OR DRAWING
- BLURRED OR ILLEGIBLE TEXT OR DRAWING
- SKEWED/SLANTED IMAGES
- COLOR OR BLACK AND WHITE PHOTOGRAPHS
- GRAY SCALE DOCUMENTS
- LINES OR MARKS ON ORIGINAL DOCUMENT
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.